

Attorney's Docket No. 035784/311260

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Long <i>et al.</i>	Group Art Unit:	1643
Serial No.:	10/578,590	Confirmation No.:	7881
Filing Date:	(I.A.) November 4, 2004		
For:	METHODS OF THERAPY FOR CANCERS EXPRESSING THE CD40 ANTIGEN		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

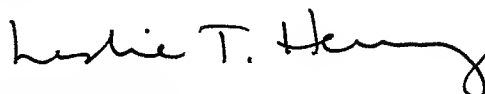
REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-referenced application, Applicant notes that an error appears as noted on the enclosed copy of the Filing Receipt. Specifically, under the Title "Assignment for Published Patent Application," the assignee is incorrectly listed as Chiron Corporation, Emeryville, CA. The correct assignee is **Novartis Vaccines and Diagnostics, Inc., Emeryville, CA**. We have enclosed a copy of the notice of recordation dated June 19, 2008 confirming Novartis Vaccines and Diagnostics, Inc. as the assignee for this application. We also enclose a page entitled "Patent Assignment Abstract of Title" printed from PAIR also showing Novartis Vaccines and Diagnostics, Inc. as the assignee for this patent application.

We respectfully request that you forward a corrected filing receipt at your earliest convenience.

Respectfully submitted,



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TRADEMARK OFFICE ON SEPTEMBER 19, 2008.*



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/578,590	03/05/2007	1643	5430	035784/311260	93	2

CONFIRMATION NO. 7881

FILING RECEIPT



OC000000032044004

826

ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

Date Mailed: 09/15/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Li Long, Hercules, CA;
Mohammad Luqman, Danville, CA;
Daniel Menezes, Emeryville, CA;
Asha Yabannavar, Lafayette, CA;
Deborah Hurst, Piedmont, CA;
Isabel Zaror, El Cerrito, CA;

Assignment For Published Patent Application

~~Chiron Corporation, Emeryville, CA~~ *Novartis Vaccines and Diagnostics, Inc., Emeryville, CA*

Power of Attorney: The patent practitioners associated with Customer Number 00826

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/36958 11/04/2004
which claims benefit of 60/517,337 11/04/2003
and claims benefit of 60/525,579 11/26/2003
and claims benefit of 60/565,710 04/27/2004

Foreign Applications

If Required, Foreign Filing License Granted: 09/11/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/578,590**

Projected Publication Date: 12/25/2008

Non-Publication Request: No

Early Publication Request: No

Title

Methods of Therapy for Cancers Expressing the Cd40 Antigen

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

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TO:LESLIE T. HENRY COMPANY:3201 BEECHLEAF COURT, SUITE 600

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



JUNE 19, 2008

500570745A

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 06/19/2008

REEL/FRAME: 021117/0664
NUMBER OF PAGES: 10

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 035784/311256

ASSIGNOR:

CHIRON CORPORATION

DOC DATE: 04/19/2006

ASSIGNOR:

NOVARTIS BIOTECH PARTNERSHIP, INC.

DOC DATE: 04/19/2006

ASSIGNEE:

NOVARTIS VACCINES AND DIAGNOSTICS,
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4560 HORTON STREET
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SERIAL NUMBER: 60565634

FILING DATE: 04/27/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS OF THERAPY FOR SOLID TUMORS EXPRESSING THE CD40 CELL-
SURFACE ANTIGEN

TO:LESLIE T. HENRY COMPANY:3201 BEECHLEAF COURT, SUITE 600

021117/0664 PAGE 2

SERIAL NUMBER: 60565709 FILING DATE: 04/26/2004
PATENT NUMBER: ISSUE DATE:
TITLE: USE OF ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES FOR TREATMENT OF
MULTIPLE MYELOMA

SERIAL NUMBER: 60613885 FILING DATE: 09/28/2004
PATENT NUMBER: ISSUE DATE:
TITLE: METHODS OF THERAPY FOR B CELL-RELATED CANCERS

SERIAL NUMBER: 60611794 FILING DATE: 09/21/2004
PATENT NUMBER: ISSUE DATE:
TITLE: USE OF ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES FOR TREATMENT OF
CHRONIC LYMPHOCYTIC LEUKEMIA

→ SERIAL NUMBER: 10578590 FILING DATE:
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0436958
TITLE: METHODS OF THERAPY FOR CANCERS EXPRESSING THE CD40 ANTIGEN

SERIAL NUMBER: 10578400 FILING DATE: 01/03/2007
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0436955
TITLE: METHODS OF THERAPY FOR SOLID TUMORS EXPRESSING THE CD40 CELL-
SURFACE ANTIGEN

SERIAL NUMBER: 10578387 FILING DATE: 03/27/2007
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0437281
TITLE: USE OF ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES FOR TREATMENT OF
MULTIPLE MYELOMA

SERIAL NUMBER: 10576943 FILING DATE:
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0436957
TITLE: USE OF ANTAGONIST ANTI-CD40 ANTIBODIES FOR TREATMENT OF AUTOIMMUNE
AND INFLAMMATORY DISEASES AND ORGAN TRANSPLANT REJECTION

SERIAL NUMBER: 10578401 FILING DATE: 01/03/2007
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0437159
TITLE: METHODS OF THERAPY FOR B CELL-RELATED CANCERS


SERIAL NUMBER: 10577642 FILING DATE: 11/20/2006
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US0436954
TITLE: USE OF ANTAGONIST ANTI-CD40 ANTIBODIES FOR TREATMENT OF CHRONIC
LYMPHOCYTIC LEUKEMIA

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

10/578,590 Methods of Therapy for Cancers Expressing the Cd40 Antigen

09-19-
2008::11:46:09**Patent Assignment Abstract of Title****Total Assignments: 3****Application #:** 10578590**Filing Dt:** 03/05/2007**Patent #:** NONE**Issue Dt:****PCT #:** NONE**Publication #:** NONE**Pub Dt:****Inventors:** Li Long, Mohammad Luqman, Daniel Menezes, Asha Yabannavar, Deborah Hurst, Isabel Zaror**Title:** Methods of Therapy for Cancers Expressing the Cd40 Antigen**Assignment: 1****Reel/Frame:** 021117 / 0664**Received:** 06/19/2008**Recorded:** 06/19/2008**Mailed:** 06/19/2008**Pages:** 10**Conveyance:** MERGER (SEE DOCUMENT FOR DETAILS).**Assignors:** CHIRON CORPORATION**Exec Dt:** 04/19/2006

NOVARTIS BIOTECH PARTNERSHIP, INC.

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RALEIGH, NC 27604-1500**Assignment: 2****Reel/Frame:** 019201 / 0686**Received:** 04/24/2007**Recorded:** 04/24/2007**Mailed:** 04/24/2007**Pages:** 6**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** LONG, LI**Exec Dt:** 07/05/2006

LUQMAN, MOHAMMAD

Exec Dt: 05/25/2006

YABANNAVAR, ASHA

Exec Dt: 05/08/2006

ZAROR, ISABEL

Exec Dt: 07/06/2006

HURST, DEBORAH

Exec Dt: 10/08/2006

LOPES DE MENEZES, DANIEL E.

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LUQMAN, MOHAMMAD

Exec Dt: 05/25/2006

YABANNAVAR, ASHA

Exec Dt: 05/08/2006

ZAROR, ISABEL

Exec Dt: 07/06/2006

HURST, DEBORAH

Exec Dt: 10/08/2006

LOPES DE MENEZES, DANIEL E.

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Search Results as of: 09/19/2008 11:45:28 AM

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